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**King's Mill Hospital**  
Mansfield Road  
Sutton in Ashfield  
Nottinghamshire  
NG17 4JL

**RE: Freedom of Information Request**

Tel: 01623 622515  
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**7<sup>th</sup> February 2024**

Dear Sir/Madam

With reference to your request for information received on 24<sup>th</sup> November 2023, I can confirm in accordance with Section 1 (1) of the Freedom of Information Act 2000 that we do hold the information you have requested. A response to each part of your request is provided below.

In your request you asked:

**1. Please provide the rostering solution used for the below staffing groups. Where more than one supplier is used for each staff group, please provide the name of each supplier.**

- **Medical & Dental**
- **Nursing & Health Care Assistants**
- **Scientific, Therapeutic & Technical Staff including of Allied Health Professionals**
- **Administration and Estates**

Allocate Optima is used for all staffing groups. CLW rostering system is used for Anaesthetics.

**2. Please list below the staffing groups where e-rostering is currently live and being used? - e-Rostering is currently live in the following staffing groups but not all specialties/departments in these groups are live. Some are live for leave management only, some still waiting to go live:**

- Nursing
- Medics
- AHP
- A&C

## Home, Community, Hospital.

**Patient Experience Team**  
01623 672222  
[sfh-tr.pet@nhs.net](mailto:sfh-tr.pet@nhs.net)



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**Chair** Claire Ward  
**Chief Executive** Paul Robinson

**3. Please tick the below Medical Specialities that are currently rostered on the system-**

- **General Medicine** – Allocate Optima – Yes but not all grades.
- **General Surgery** – Allocate Optima – Yes
- **Anaesthetics** – CLW Rostering – Yes
- **Obstetrics and Gynaecology** – Allocate Optima – Yes

**4. What percentage of workforce are rostered on the system? Please list the percentages by the staffing groups listed below-**

- **Medical & Dental**
- **Nursing & Health Care Assistants**
- **Scientific, Therapeutic & Technical Staff including of Allied Health Professionals**
- **Administration and Estates**

Information not held.

**5. What is the contract start date for your rostering supplier/suppliers? Please list the name of the supplier and contract start date.**

We have a rolling contract with Allocate Optima which is reviewed annually.

**6. What is the contract end date for your rostering supplier/suppliers? Please list the name of the supplier and contract end date.**

We have a rolling contract with Allocate Optima which is reviewed annually.

**7. What was the annual cost of your rostering supplier/ suppliers for the financial year 22/23 (April 2022 - March 2023)?**

**Section 43 - Commercial interests**

It is our opinion that the information sought in relation to costs is exempt from disclosure pursuant to section 43(2) of the Freedom of Information Act 2000 (FOIA). S43(2) relates to information that is exempt from disclosure that could have a detrimental effect on the commercial interests of "...any person." The Trust considers that at this time the commercial interests of the Trust, third parties and overall the NHS could be detrimentally impacted upon. Please see the public interest test below.

**Public Interest Test**

We recognise that the disclosure of the information sought in relation to the costs involved would provide transparency in the use of public funds by the Trust and the NHS in general. It would also assist the general populous with transparency in decision making by the Trust and their transactions with third parties.

Conversely to the factors demonstrated above in favour of disclosure, it is felt that the Trust, third party suppliers and overall the NHS could be disadvantaged should the information sought in relation to costs being made available. Suppliers compete with one another in a competitive environment and to provide the value of the costs involved may allow rival companies to undercut the Trust current suppliers, lead to loss of confidence by suppliers and customers and could cause significant harm and disadvantage to the Trust and suppliers.

The disclosure could also adversely impact on any on-going or future procurement processes for the reasons outlined above. Any disclosure by the Trust could also in future discourage the provision of commercially sensitive information necessary to respond properly to the Trusts Invitation To Tender, and would be likely to affect and undermine the Trusts bargaining position in any future procurement process or

negotiations. Ultimately civil action could be taken against the Trust should information be disclosed that adversely affects the commercial interests of current and/or future suppliers.

As a result, it is in the Trust's opinion that this sufficiently demonstrates the prejudice which could be caused through disclosure.

**Balance Test**

It is important to bear in mind that any disclosure under the FOI Act is a disclosure to the public at large and not just to the applicant. It is recognised that there is a general public interest in the Trust being open and transparent. However, it is not believed that this public interest is served in disclosure for damage that would be caused as outlined above.

Therefore, – the Trust considers that releasing this information would not be in the public interest, as the public interest does not outweigh the prejudice as set out above. Further guidance can be accessed from the Information Commissioner's Office

<https://ico.org.uk/for-organisations/guidance-index/freedom-of-information-and-environmental-information-regulations/section-43-commercial-interests/>

**8. Are there any exit costs incurred for changing rostering supplier/ suppliers? If yes, please state the exit cost for each supplier**

No exit costs.

**9. Did the organisation use a framework to procure your rostering supplier/ suppliers? Please state the name of the supplier and framework it was procured from.**

HealthTrust Europe LLP Framework Agreement.

**10. From the table below please indicate which interfaces are being used and at what frequency?**

Interface	In/Out	Daily	Weekly	Monthly	Ad Hoc
ESR Generic Attendance Inbound Interface	Out	N/A	N/A	N/A	N/A
ESR Generic Absence Inbound Interface	Out	N/A	N/A	N/A	N/A
ESR Generic Outbound Interface	In	N/A	N/A	N/A	N/A
E-Job Planning	In/Out	No	No	No	No
Junior Doctors	In/Out	No	No	No	No
Staffbank / Agency	In/Out	Yes	N/A	N/A	N/A
Identity Provider Servers	In/Out	None	N/A	N/A	N/A

**11. Please provide the name of the organisations GOSWH (Guardian of safe working hours)**

Names, job titles (other than that of the board of directors) and email addresses constitute personal data.

Section 40(2) provides that personal data is exempt information if one of the conditions set out in section 40(3) is satisfied. In our view, disclosure of this information would breach the data protection principles contained in the General Data Protection Regulations and Data Protection Act 2018

In reaching this decision, we have particularly considered:

- The reasonable expectations of the employees given their positions; Sherwood Forest Hospitals NHS Foundation Trust considered that none of the individuals would have a reasonable expectation that their personal data would be disclosed;
- The consequences of disclosure; and
- any legitimate public interest in disclosure.

Section 40(2) is an absolute exemption and therefore not subject to the public interest test.

**12. Please provide the name of the organisations Lead Registrar**

Section 40(2)

**13. Please provide the name of the organisations Medical Education Director**

Section 40(2)

**14. Please provide the name of the chair of the Junior Doctors forum**

Sherwood Forest Hospitals do not have a single chair, there are some chairs for divisions & some for specialties.

I trust this information answers your request. Should you have any further enquiries or queries about this response please do not hesitate to contact me. However, if you are unhappy with the way in which your request has been handled, you have the right to ask for an internal review. Internal review requests should be submitted within two months of the date of receipt of the response to your original letter and should be addressed to: Sally Brook Shanahan, Director of Corporate Affairs, King's Mill Hospital, Mansfield Road, Sutton in Ashfield, Nottinghamshire, NG17 4JL or email [sally.brookshanahan@nhs.net](mailto:sally.brookshanahan@nhs.net).

If you are dissatisfied with the outcome of the internal review, you can apply to the Information Commissioner's Office, who will consider whether we have complied with our obligations under the Act and can require us to remedy any problems. Generally, the Information Commissioner's Office cannot decide unless you have exhausted the internal review procedure. You can find out more about how to do this, and about the Act in general, on the Information Commissioner's Office website at: <https://ico.org.uk/your-data-matters/official-information/>.

Complaints to the Information Commissioner's Office should be sent to FOI/EIR Complaints Resolution, Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire, SK9 5AF. Telephone 0303 1231113, email [casework@ico.org.uk](mailto:casework@ico.org.uk).

If you would like this letter or information in an alternative format, for example large print or easy read, or if you need help with communicating with us, for example because you use British Sign Language, please let us know. You can call us on 01623 672232 or email [sfh-tr.foi.requests@nhs.net](mailto:sfh-tr.foi.requests@nhs.net).

Yours faithfully

**Information Governance Team**

All information we have provided is subject to the provisions of the Re-use of Public Sector Information Regulations 2015. Accordingly, if the information has been made available for re-use under the [Open Government Licence](#) (OGL) a request to re-use is not required, but the licence conditions must be met. You must not re-use any previously unreleased information without having the consent from Sherwood Forest Hospitals NHS Foundation Trust. Should you wish to re-use previously unreleased information then you must make your request in writing. All requests for re-use will be responded to within 20 working days of receipt.