

Board of Directors Meeting in Public - Cover Sheet

Subject:	Board Assurance Framework	Date: 26 th April 2017								
Prepared By:	Jackie Robinson, Risk and Assurance Manager									
Approved By:	Paul Moore, Director of Governance & Quality Improvement									
Presented By:	Paul Moore, Director of Governance & Quality Improvement									
Purpose										
To enable the Board to review the effectiveness of risk management within the Board Assurance Framework (BAF) and gain assurances.		<table border="1"> <tr> <td>Approval</td> <td></td> </tr> <tr> <td>Assurance</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Update</td> <td></td> </tr> <tr> <td>Consider</td> <td></td> </tr> </table>	Approval		Assurance	<input type="checkbox"/>	Update		Consider	
Approval										
Assurance	<input type="checkbox"/>									
Update										
Consider										
Strategic Objectives										
To provide outstanding care to our patients	To support each other to do a great job	To inspire excellence								
To get the most from our resources	To play a leading role in transforming health and care services									
✓	✓	✓								
✓	✓									
Overall Level of Assurance										
	Significant	Sufficient								
		✓								
Risks/Issues										
Financial	Principal Risk 5 concerns the Trust's financial sustainability.									
Patient Impact	Principal Risk 1 concerns the delivery of safe and effective patient care.									
Staff Impact	Principal Risk 3 concerns staff capability and capacity.									
Services	Principal Risk 2 concerns the management of capacity and demand. Principle Risk 6 concerns the effectiveness of strategic partnerships Principle Risk 7 concerns the management of major disruptive incidents									
Reputational	Principal Risk 4 Fundamental loss of stakeholder confidence									
Committees/groups where this item has been presented before										
Lead Committees review individual Principal risks at each formal meeting (Quality Committee; Finance Committee).										
Executive Summary										
<p>The Board Assurance Framework (BAF) is designed to provide the Board of Directors with a simple but comprehensive method for the effective and focussed management of Principal Risks to Trust objectives. The Board defines the Principal Risks and ensures that each is assigned to a Lead Committee as well as to a Lead Director.</p> <p>The Quality Committee reviewed Principal Risks AF1, AF2, AF3 and AF7 using the 2017-18 BAF at its March 2018 meeting. The current risk ratings were acknowledged and confirmed as correct.</p> <p>The Finance Committee reviewed Principal Risk AF4 at its March 2018 meeting. The committee noted a lack of confidence in achievement of CIP targets. Following the meeting the BAF was updated to reflect the change in terminology from CIP to FIP.</p> <p>A copy of the new revised BAF (April 2018) was presented at the Board workshop on 28th March 2018 and is now approved for use moving forward, it is acknowledged that the new BAF will develop further over the next few months with meetings with Lead Directors being arranged.</p>										

The new revised BAF is attached to this report. Assurance indicators have been updated from the Single Oversight Framework report produced in April 2018 (Containing March's data).

Three new principle risks have been added to the BAF:-

- PR5 Fundamental loss of stakeholder confidence
- PR6 Breakdown of strategic partnerships
- PR7 Major disruptive incident

Next steps:

- Future BAF reports to the Risk Committee will be bi-monthly,
- Each lead committees will receive the relevant Principle Risks at their next scheduled meeting and rate their level of assurance
- The Board will receive the BAF quarterly