

## Board of Directors - Public

<b>Subject:</b>	Report of the Audit and Assurance Committee	<b>Date:</b> 20 <sup>th</sup> April 2017		
<b>Prepared By:</b>	Shirley A Clarke, Head of Corporate Affairs and Company Secretary			
<b>Approved By:</b>	Ray Dawson, NED, Chair of Audit and Assurance Committee			
<b>Presented By:</b>	Ray Dawson, NED, Chair of Audit and Assurance Committee			
<b>Purpose</b>				
The Audit and Assurance Committee met on 20 <sup>th</sup> April 2017. This paper informs members of the significant matters agreed by the Committee for reporting to the Board of Directors:			<b>Decision</b>	
			<b>Approval</b>	
			<b>Assurance</b>	X
<b>Strategic Objectives</b>				
<b>To provide outstanding care to our patients</b>	<b>To support each other to do a great job</b>	<b>To inspire excellence</b>	<b>To get the most from our resources</b>	<b>To play a leading role in transforming health and care services</b>
X	X	X	X	X
<b>Overall Level of Assurance</b>				
	<b>Significant</b>	<b>Sufficient</b>	<b>Limited</b>	<b>None</b>
		X		
<b>Risks/Issues</b>				
<b>Financial</b>	The Audit and Assurance Committee is responsible for ensuring the system of internal control is robust and effective in order to provide high quality, value for money services to patients and provide a safe environment for staff. Safeguarding the reputation of the Trust			
<b>Patient Impact</b>				
<b>Staff Impact</b>				
<b>Services</b>				
<b>Reputational</b>				
<b>Committees/groups where this item has been presented before</b>				
N/A				
<b>Executive Summary</b>				
<b>Internal Audit and Counter Fraud Plan 2017/18</b>				
Peter Herring, CEO, attended the committee which received the above plans for approval. The plans have been developed through a review of the Board Assurance Framework and corporate Risk Register and have been previously submitted to Committee for comment and discussion. After further discussion and points of clarification the CEO and committee approved the plans.				
<b>Draft Internal Audit Opinion</b>				
Committee received a report regarding the draft Internal Audit Opinion, although there is some work still to do, in particular with regard to the Data Quality aspect of the Emergency Care 4 hours standard, it is expected the Internal Audit Opinion for 2016/17 will be Significant, this is a reflection of the excellent progress made this year with regard to the internal control environment, the embedding of the BAF process, the robust follow up with regard to Audit recommendations and the number of internal audit which were rated as providing significant assurance during the year				
<b>Draft Annual Report and Annual Governance Statement</b>				
The Committee received the draft narrative for the Annual Report 2016/17, including the Annual Governance Statement, which was previously received by committee in March and comments had				

been provided by the external auditor which had been incorporated into the revised version. The committee were asked to review and comment on the draft report, which would be submitted to committee in May for approval after scrutiny by external audit and prior to submission to the Board for final approval before submission to NHSI on 31<sup>st</sup> May

### **Annual Accounts Preparation**

The Committee received a report regarding the draft annual accounts which would be submitted to External Audit on the 26<sup>th</sup> April. There was an outstanding item with regard to additional STF funding which will be reported separately to board once the outcome is known on 24<sup>th</sup> April 2017. Committee approved delegated authority for the CFO to amend the report prior to submission to board to accommodate the additional monies once known.