

Board of Directors Meeting in Public

Subject:	Report of the Board Risk Committee	Date: 20 th July 2017						
Prepared By:	Paul White, Risk Manager							
Approved By:	Paul Moore, Director of Governance & Quality Improvement							
Presented By:	Paul Moore, Director of Governance & Quality Improvement							
Purpose								
To enable the Board of Directors to take assurance as to the effectiveness of the Trust's risk management arrangements.		<table border="1"> <tr> <td>Decision</td> <td></td> </tr> <tr> <td>Approval</td> <td></td> </tr> <tr> <td>Assurance</td> <td>✓</td> </tr> </table>	Decision		Approval		Assurance	✓
Decision								
Approval								
Assurance	✓							
Strategic Objectives								
To provide outstanding care to our patients	To support each other to do a great job	To inspire excellence						
To get the most from our resources	To play a leading role in transforming health and care services							
✓	✓	✓						
✓	✓	✓						
Overall Level of Assurance								
	Significant	Sufficient						
	✓							
		Limited						
		None						
Risks/Issues								
Financial	The risk of exceeding annual budget is included in all operational risk profiles.							
Patient Impact	Patient care risks are included in the risk profiles of all clinical services.							
Staff Impact	Staff health & wellbeing risks are included in all operational risk profiles.							
Services	Business continuity risks are included in all operational risk profiles.							
Reputational	Compliance and regulatory risks across a wide range of objectives are assigned to the relevant lead services.							
Committees/groups where this item has been presented before								
This is the regular report of the Board Risk Committee.								
Executive Summary								
The Board Risk Committee (BRC) met on Tuesday 18 th July 2017. This paper summarises the key items on the agenda for the attention of the Board of Directors.								
Civil Contingencies (Fire) Assurance Report								
<ul style="list-style-type: none"> The Committee received an assurance report in relation to Civil Contingencies (Fire) arrangements, which had been requested following the initiation of a national review of fire safety precautions and building structures that was initiated following the Grenfell Tower fire in London The report confirmed that the Trust had been officially declassified from a Category 1 risk by NHS Improvement and also that assurances had been received from Nottinghamshire Fire & Rescue as to the effectiveness of current fire safety practices The Committee discussed mandatory training in fire safety and decided to investigate further the level of compliance amongst bank staff in particular; it was also agreed that the Executive Team would consider the practicalities of conducting an evacuation test in the future 								

Information Governance Assurance Report

- A report from the Information Governance Group summarised initial feedback from the recent Information Commissioner's Office audit
- The Trust is awaiting a final report and the Committee requested that it be presented to the Executive Team in September; it is expected that there will be a number of recommendations but no areas of concern that would expose the Trust to regulatory action
- Key areas of information risk that were highlighted to the Committee are the management and storage of records and the maintenance of cyber security; with regard to cyber security in particular, whilst the external threats such as ransomware represent a concern, there is potentially greater vulnerability within internal system and process controls
- The Committee was informed that a comprehensive cyber security strategy was in development, in collaboration with the Trust's IT provider Nottinghamshire Health Informatics Service (NHIS)
- Preparation for the introduction of the European General Data Protection Regulation (GDPR) is also a priority for the Information Governance Group this year
- In future, 6-monthly reports on Information Governance will be provided to the Committee as part of its Work Programme

Significant Risk Report

- This is a regular monthly report received as part of the Committee's Annual Work Programme
- Assurance was received that progress continues to be made to address recruitment issues that have been causing increased levels of service continuity risk in ENT and financial risk in Acute Medicine
- It was confirmed that risks associated with the recent loss of the Diamond database used by adult and paediatric diabetes teams have been considered and a suitable treatment plan is being put in place to ensure that any potential lost income and service disruption are minimised
- Overall risk management performance continues to be good, with the majority of risks being reviewed and updated in accordance with the Policy

Board Assurance Framework (BAF) Report

- This is a regular monthly report received as part of the Committee's Annual Work Programme
- The BAF was reviewed by the Committee following updates to Principal Risks agreed by lead committees

- The areas of greatest strategic risk for the Trust continue to be the maintenance of sufficient staffing levels to meet demand and the achievement of financial sustainability
- A separate report on the BAF has been prepared for the Board and is included elsewhere on the agenda

Risk Management Strategy

- The Committee received the first of its scheduled 6-monthly updates on progress with the 3-year Risk Management Strategy that was agreed in January 2017
- Progress has been made with embedding an appreciation of risk priorities within internal audit planning and capital investment prioritisation
- The Committee acknowledged that the immediate priority was to strengthen the training that is made available to colleagues to support more consistent governance and risk management practice across the organisation

Horizon Scanning

- The Committee discussed the continued development of strategic risk management, the format and content of the BAF and the use of horizon scanning to inform strategy decisions
- It was agreed that a discussion paper would be prepared for consideration and that the Executive Team away day in August would be used to take this forward