

1 June 2016

Mr Peter Herring
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Dear Peter

Q4 2015/16 monitoring of NHS foundation trusts

Our analysis of your Q4 submissions is now complete. Based on this work, the trust's current ratings are:

- Financial sustainability risk rating: 2
- Governance rating: Red

These ratings will be published on NHS Improvement's website later in June.

NHS Improvement is the operational name for the organisation which brings together Monitor and the NHS Trust Development Authority. In this letter, "NHS Improvement" means Monitor exercising functions under chapter 3 of Part 3 of the Health and Social Care Act 2012 (licensing), unless otherwise indicated.

The trust is subject to formal enforcement action in the form of additional licence conditions and enforcement undertakings. In accordance with NHS Improvement's Enforcement Guidance, such actions have also been published on our website.

NHS Improvement will raise any concerns arising from our review of the trust's Q4 submissions as part of our regular Progress Review Meetings.

A report on the aggregate performance of all NHS providers (Foundation and NHS trusts) from Q4 2015/16 will be available in due course on our website (in the News and alerts section), which I hope you will find of interest.

For your information, we will be issuing a press release in due course setting out a summary of the report's key findings.

We are developing the new Oversight Framework, which will be consulted on and will replace the Risk Assessment Framework in due course.

If you have any queries relating to the above, please contact me by telephone on 0203 747 0167 or by email (jayne.rhodes1@nhs.net).

Yours sincerely

A handwritten signature in black ink that reads "JRhodes". The signature is written in a cursive, flowing style.

Jayne Rhodes
Senior Regional Manager

cc: Mr Sean Lyons, Chair
Mr Paul Robinson, Executive Director of Finance