

# Board of Directors

# Report

**Subject:** Report of the Board Risk Committee  
**Date:** 30<sup>th</sup> September 2016  
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**Lead Director:** Peter Herring, Managing Director

## Executive Summary

The Board Risk Committee (BRC) met on 22<sup>nd</sup> September 2016. This paper summarises the matters agreed by the Committee for reporting to the Board of Directors:

- The Trust has received a Significant assurance rating in the recent internal audit of Risk Management carried out by 360 Assurance; actions to implement the report's recommendations have been agreed, are being reported through to the BRC and are due to be completed by the end of October
- The Board Assurance Framework (BAF) was reviewed and it was agreed that risks impacted by the revised merger timescales should be re-assessed
- The BRC reviewed the Significant Risk Report and received confirmation that there are currently no operational risks within divisional and corporate service risk registers scoring 15 or more
- Based on risk register entries the most common cause of risk within the Trust at present is staffing within clinical services. A summary of the main areas of clinical staffing risk is provided below:

### Medicine Division:

- Stroke – medical staffing. Reliance on locums who it is becoming increasingly difficult to recruit
- Geriatrics – medical staffing. Difficulties recruiting and retaining Geriatric consultants in particular
- Clinical Haematology – medical staffing. Junior doctor shortage, reliance on cross cover from Cardiology & Respiratory
- Neurology – medical staffing. No longer taking new patient referrals. Working collaboratively with NUH to build a sustainable service
- Respiratory – medical staffing. Study leave, annual leave, on calls and teaching activities which mean there may be insufficient junior doctors available at times

### Women & Children's Division:

- Paediatrics – nurse staffing. Use of bank & agency staff where possible and a rolling recruitment programme

### Surgery Division:

- Trauma & Orthopaedics – nurse staffing. Difficulties recruiting, reliance on bank & agency staff

Diagnostics & Outpatients Division:

- Radiology – radiologist staffing. Use of bank / agency radiologists where possible & work on-going with NUH through diagnostic work-stream.
- Reportable risks (those scoring 10 or more) were presented by Medicine Division and Women & Children’s Division as part of the rolling Work Programme, enabling the BRC to gain assurance as to the growing effectiveness of risk management practices within the Trust

**Recommendation**

The Board of Directors are asked to receive and note this report from the Board Risk Committee.

Relevant Strategic Priorities (please mark in bold)	
<b>Ensure the highest standards of safe care are consistently delivered by, and for, individuals, teams and departments</b>	<b>Ensure that patients experience the very best care, building on good practice and listening and learning from both negative and positive feedback and events</b>
<b>Provide timely access to diagnosis, treatment and care when people need it and safely reduce the time patients spend in hospital</b>	<b>Raise the level of staff engagement through strong leadership, communication, feedback and recognition</b>
<b>Reduce the scale of our financial deficit by reducing costs, improving utilisation of resources and productivity, and achieving best value for money</b>	<b>Work in partnership to keep people well in the community, and enable them to return as soon as they are ready to leave hospital</b>
<b>Develop and implement a programme of work in conjunction with Nottingham University Hospital NHST to create a new combined organisation</b>	

<b>How has organisational learning been disseminated</b>	Through management teams, internal governance structures and the use of the Datix risk register.
<b>Links to the BAF</b>	All BAF Risks are reviewed by the BRC.
<b>Financial Implications/Impact</b>	None specific to this report.
<b>Legal Implications/Impact</b>	None specific to this report.
<b>Partnership working &amp; Public Engagement Implications/Impact</b>	None specific to this report.
<b>Committees/groups where this item has been presented before</b>	Board Risk Committee.