

Board of Directors Meeting in Public - Cover Sheet

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| Subject: | Annual Organisation Audit & Statement of Compliance | | Date: August 2018 | |
| Prepared By: | Nicola Boulding, Business Manager Clinical Executive Team | | | |
| Approved By: | Andrew Haynes, Executive Medical Director | | | |
| Presented By: | Andrew Haynes, Executive Medical Director | | | |
| Purpose | | | | |
| To receive the Annual Organisation Audit and agree the Statement of Compliance in order to meet the requirements of the Responsible Officer Regulations. | | | Approval | |
| | | | Assurance | X |
| | | | Update | |
| | | | Consider | |
| Strategic Objectives | | | | |
| To provide outstanding care to our patients | To support each other to do a great job | To inspire excellence | To get the most from our resources | To play a leading role in transforming health and care services |
| | | | | |
| Indicate which strategic objective(s) the report support | | | | |
| Overall Level of Assurance | | | | |
| | Significant | Sufficient | Limited | None |
| | X | | | |
| Risks/Issues | | | | |
| Indicate the risks or issues created or mitigated through the report | | | | |
| Financial | None | | | |
| Patient Impact | To assure our patients that our clinicians remain up to date and fit to practise. | | | |
| Staff Impact | Support our clinicians in meeting the requirements set out in the Good Medical Practice. | | | |
| Services | None | | | |
| Reputational | None compliance would result in a breach of our GMC requirements and a breach of the RO Regulations | | | |
| Committees/groups where this item has been presented before | | | | |
| The report has not been presented at any other formal meeting or sub group. | | | | |
| Executive Summary | | | | |
| The Annual Organisational Audit and the monitoring processes within it are designed to support all responsible officers in fulfilling their statutory duty, providing a means by which they can demonstrate the effectiveness of the systems they oversee. | | | | |
| The aims of the annual organisational audit exercise are to: | | | | |
| <ul style="list-style-type: none"> gain an understanding of the progress that organisations have made during 2017/18; provide a tool that helps responsible officers assure themselves and their board that the systems underpinning the recommendations they make to the General Medical Council (GMC) on doctors' fitness to practise, the arrangements for medical appraisal and responding to concerns are in place, provide a mechanism for assuring NHS England and the GMC that systems for evaluating doctors' fitness to practice are in place, functioning, effective and consistent. | | | | |
| The Board of Directors are asked to: | | | | |
| <ol style="list-style-type: none"> Note the content of the Annual Organisational Audit Approve the Statement of Compliance which is to be submitted to NHS England by the 28th September 2018 | | | | |